

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.  
ATTORNEYS AT LAW

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application:

Krzysztof Matyjaszewski et al :

Serial Number: 08/414,415 :

Filed: March 31, 1995 :

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on November 28, 1995.

Andrew D. Fortney, Ph.D.

Name of Registered Rep.

Signature

Date

For NOVEL (CO)POLYMERS AND A NOVEL POLYMERIZATION PROCESS BASED ON ATOM (OR GROUP) TRANSFER RADICAL POLYMERIZATION

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir: Applicant(s) wish to disclose the following information:

REFERENCES

The Applicant(s) wish to make of record the references listed on the attached Form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

Please charge Deposit Account No. 15-0047 with the fee required under 37 CFR § 1.17(p).

RELATED CASES

Attached is a list of applicant's pending applications or issued patents which are thought to be related to the present application. Copies of the issued patents are attached hereto.

Please charge Deposit Account No. 15-0047 with the fee required under 37 CFR § 1.17(p).

CERTIFICATION

The undersigned certifies that:

Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

PETITION

Applicant(s) hereby request consideration of the attached information. Please charge Deposit Account No. 15-0047 with the Petition fee required under 37 CFR § 1.17(i)(1).

DEPOSIT ACCOUNT

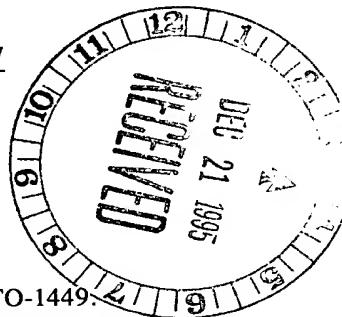
Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0047. A duplicate copy of this sheet is enclosed.

DATE November 28, 1995  
 OBLON, SPIVAK, McCLELLAND,  
 MAIER & NEUSTADT, P.C.  
 Fourth Floor, 1755 Jefferson Davis Hwy.  
 Arlington, Virginia 22202  
 (408) 436-2070  
 FAX No. (703) 412-2220

Respectfully submitted,

Andrew D. Fortney, Ph.D.  
 Norman F. Oblon  
 Registration No. 24,618  
 Attorney of Record

Andrew D. Fortney, Ph.D.  
 Registration No. 34,600



#4